

Marks: 75

Time: 2 1/2 Hours

Note: i) All questions are compulsory.
ii) Figures to the right indicate marks.

- Q.1 Answer ant 3 out of 5** 15
- Doctrine of Indoor Management under Companies Act, 2013.
 - Recognition to Stock Exchange subject to conditions under SCRA 1956.
 - Definition of Borrower under SARFAESI Act, 2002.
 - Definition of Foreign Security under FEMA, 1999
 - Write a note on NSDL
- Q.2 Answer ant 3 out of 5** 15
- Binding force of MoA and AoA under Companies Act, 2013.
 - Objectives of the SCRA, 1956.
 - Specify and explain any two powers of SEBI.
 - Definition of 'Authorised person' under FEMA 1999.
 - Explain any 2 types of Preference shares in detail.
- Q.3** a) Write a note on Introduction, objects of SCRA, 1956. 8
b) Explain the concept of Asset Reconstruction. 7
- OR**
- Q.3** a) What is Enforcement of Security Interest? 8
b) Listing Agreement & Consequences of non-listing. 7
- Q.4** a) What are the functions of SEBI? 7
b) Write any 4 features of a Joint Stock Company. 8
- OR**
- Q.4** a) Write any 4 regulations relating to Public Issues according to SEBI guidelines. 8
b) Write a note on Rights of Auditors. 7
- Q.5** a) Distinguish between FERA & FEMA. 8
b) What are the types of Alternate Dispute Redressal Mechanisms? 7
- OR**
- Q.5** a) Explain the working of the Depository System. (point-wise or flowchart) 8
b) Advantages of Arbitration over litigation. 7
